# **Overview of Omega-3 Fatty Acid Therapies**

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### ABSTRACT

The triglyceride (TG)-lowering benefits of the very-longchain omega-3 fatty acids eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA) are well documented. Available as prescription formulations and dietary supplements, EPA and DHA are recommended by the American Heart Association for patients with coronary heart disease and hypertriglyceridemia. Dietary supplements are not subject to the same government regulatory standards for safety, efficacy, and purity as prescription drugs are; moreover, supplements may contain variable concentrations of EPA and DHA and possibly other contaminants. Reducing low-density lipoprotein-cholesterol (LDL-C) levels remains the primary treatment goal in the management of dyslipidemia. Dietary supplements and prescription formulations that contain both EPA and DHA may lower TG levels, but they may also increase LDL-C levels.

Two prescription formulations of long-chain omega-3 fatty acids are available in the U.S. Although prescription omega-3 acid ethyl esters (OM-3-A EEs, Lovaza) contain high-purity EPA and DHA, prescription icosapent ethyl (IPE, Vascepa) is a high-purity EPA agent. In clinical trials of statin-treated and non-statin-treated patients with hypertriglyceridemia, both OM-3-A EE and IPE lowered TG levels and other atherogenic markers; however, IPE did not increase LDL-C levels.

Results of recent outcomes trials of long-chain omega-3 fatty acids, fibrates, and niacin have been disappointing, failing to show additional reductions in adverse cardiovascular events when combined with statins. Therefore, the REDUCE–IT study is being conducted to evaluate the effect of the combination of IPE and statins on cardiovascular outcomes in high-risk patients. The results of this trial are eagerly anticipated.

**Key words:** dyslipidemias, omega-3 fatty acids, eicosapentaenoic acid, docosahexaenoic acid, triglycerides

### INTRODUCTION

It is now established that omega-3 and omega-6 fatty acids play important roles in human health and disease. Both are considered essential fatty acids, because they are not endogenously synthesized and must be obtained from the diet. Longchain omega-6 fatty acids include linoleic, gamma-linolenic, and arachidonic acids. Omega-3 fatty acids include the long-chain alpha-linolenic acid (ALA), eicosapentaenoic acid (EPA), and docosahexaenoic acid (DHA).<sup>1</sup>

EPA and DHA are often called very-long-chain omega-3 fatty acids. The typical Western diet is rich in omega-6 fatty acids because of the abundance of linoleic acid present in corn, sunflower, and safflower oils.<sup>2</sup> Conversely, omega-3 fatty acids account for only a small percentage of the daily dietary fat intake and are obtained from two main dietary sources—plants and fish.<sup>3,4</sup> Plant oils from walnuts, flaxseed, and canola contain the omega-3 fatty acid ALA,<sup>5</sup> which is a metabolic precursor of the very-long-chain omega-3 fatty acids EPA and DHA; however, the conversion from ALA to EPA and DHA in the body is inefficient.<sup>4</sup> The most concentrated food source of EPA and DHA is fatty fish such as albacore tuna, salmon, mackerel, sardines, and herring.<sup>4,6</sup>

Following consumption, polyunsaturated fatty acids, such as the omega-3 and omega-6 fatty acids, are incorporated into cell membranes, where they modulate membrane protein function, cellular signaling, and gene expression. Dietary omega-3 fatty acids compete with omega-6 fatty acids for incorporation into cell membranes.<sup>4</sup> When omega-6 fatty acids predominate in cell membranes, proinflammatory mediators such as thromboxanes, prostaglandins, and leukotrienes are produced via the cyclooxygenase and 5-lipoxygenase pathways. Conversely, the presence of omega-3 fatty acids promotes secretion of antiinflammatory prostaglandins and less potent leukotrienes, resulting in a shift to a milieu of less inflammatory mediators.<sup>2</sup> These proinflammatory and anti-inflammatory effects represent the primary pharmacological difference between omega-3 and omega-6 fatty acids.

In addition to their anti-inflammatory activity, very-long-chain omega-3 fatty acids have well-described effects on various risk factors for cardiovascular disease.<sup>7</sup> Epidemiological and clinical studies support the cardiovascular benefits of EPA and DHA; however, there is less evidence to support the benefits of ALA.<sup>8,9</sup> Potential mechanisms for the cardioprotective effects of omega-3 fatty acids include (Table 1):<sup>9</sup>

- reduction of triglyceride (TG) levels.
- attenuation of atherosclerotic plaques.
- exertion of antidysrhythmic, antithrombotic, and antiinflammatory effects.
- lowering of systolic and diastolic blood pressures.
- improvement in endothelial function.

#### **Dietary Recommendations**

Dietary guidelines recommended by The American Heart Association (AHA) for healthy individuals include consumption of fatty fish at least two times a week, along with plant-derived omega-3 fatty acids, including ALA from soybean products, walnuts, flaxseed oil, and canola oil.<sup>6</sup> A combined EPA and DHA intake of 1 g/day, in the form of oily fish or EPA plus DHA supplements, is recommended for patients with documented

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coronary heart disease (CHD), although the decision to supplement should be made in consultation with a physician.<sup>6</sup> The FDA recommends that the intake for consumers not exceed

#### Glossary

### **Clinical Trials**

- ACCORD = Action to Control Cardiovascular Risk in Diabetes
- AIM–HIGH = Atherothrombosis Intervention in Metabolic Syndrome with Low HDL/High Triglycerides—Impact on Global Health Outcomes
- ALPHA OMEGA = Study of Omega-3 Fatty Acids and Coronary Mortality
- ANCHOR = Effect of AMR101 (Icosapent Ethyl) on Triglyceride Levels in Patients on Statins With High Triglyceride Levels (≥200 and <500 mg/dL)</li>
- COMBOS = Combination of Prescription Omega-3 With Simvastatin
- ECLIPSE = Epanova Compared to Lovaza In a Pharmacokinetic Single-dose Evaluation
- ESPRIT = Epanova Combined with a Statin in Patients with Hypertriglyceridemia to Reduce Non-HDL Cholesterol
- EVOLVE = Epanova for Lowering Very High Triglycerides
- GISSI–HF = Gruppo Italiano per lo Studio della Sopravvivenza Nell'Insufficienza Cardiaca
- GISSI–Prevenzione = Gruppo Italiano per lo Studio della Sopravvivenza nell'Infarto miocardico
- HPS2-THRIVE = Heart Protection Study 2-Treatment of HDL to Reduce the Incidence of Vascular Events
- JELIS = Japan EPA Lipid Intervention Study
- MARINE = Multicenter, Placebo-controlled, Randomized, Double-blind, 12-week Study With an Open-label Extension
- OMEGA = Effect of Omega 3-Fatty Acids on the Reduction of Sudden Cardiac Death After Myocardial Infarction
- ORD = Omega-3 fatty acids Randomized Double-blind study
- ORIGIN = Outcome Reduction with Initial Glargine
  Intervention
- REDUCE–IT = Reduction of Cardiovascular Events with EPA—Intervention Trial
- Su.Fol.Om3 = Supplémentation en Folates et Omega-3
- TRIFECTA = Trlal For Efficacy of CaPre on hypertriglyceridemia

#### **Omega-3 Fatty Acids**

- ALA = alpha linolenic acid
- DHA = docosahexaenoic acid
- EE = ethyl esters
- EPA = eicosapentaenoic acid
- IPE = icosapent ethyl (e.g., Vascepa)
- OM-3-A EE = omega-3 acid ethyl esters (e.g., Lovaza)
- OM-3 FFA = omega-3 free fatty acids (e.g., Epanova)

3 g/day of EPA plus DHA with no more than 2 g/day from dietary supplementation.  $^{10}\,$ 

The AHA recommends that EPA plus DHA supplements may be useful in patients with hypertriglyceridemia, but patients should not consume more than 3 g/day from supplements without a physician's supervision.<sup>6</sup> This article discusses verylong-chain omega-3 fatty acids.

### **Clinical Evidence**

In 1999 and 2007, two major outcomes trials of cardiovascular disease documented the efficacy of omega-3 fatty acids in the primary and secondary prevention of CHD. **GISSI–Prevenzione** was a secondary outcomes study in which 11,324 postmyocardial infarction (MI) patients were randomly assigned to receive a fish oil supplement (0.85 g of EPA plus DHA), 0.3 g of vitamin E, both, or none.<sup>11</sup> Patients taking omega-3 fatty acids had a 15% reduction (P = 0.02) in the primary endpoint (death, nonfatal MI, and stroke), including 20% and 30% decreases in total and cardiovascular mortality, respectively.<sup>11</sup>

In **JELIS**, 18,645 patients with hypercholesterolemia (80% in primary and 20% in secondary prevention) received statins alone or in combination with highly purified EPA (1.8 g/day).<sup>12</sup> Although this study was limited to Japanese patients, most of whom were postmenopausal women, after 5 years patients receiving the combined treatment experienced a 19% relative reduction in major coronary events (*P* = 0.01). Beneficial

### Table 1 Potential Cardiovascular Benefits of Omega-3 Fatty Acids

#### Antidysrhythmic effects

- Reduced sudden death
- Possible prevention of atrial fibrillation
- Possible protection against pathologic ventricular arrhythmias
- · Improvement in heart rate variability

### Antiatherogenic effects

- Reduction in non-HDL-C levels
- Reduction in TG and VLDL-C levels
- Reduction in chylomicrons
- · Reduction in VLDL and chylomicron remnants
- Increase in HDL-C levels
- "Improvement" (increase) in LDL and HDL particle size
- Plaque stabilization

#### Antithrombotic effects

- Decreased platelet aggregation
- · Improved blood rheologic flow

#### Anti-inflammatory and endothelial protective effects

- Reduced endothelial adhesion molecules and decreased leukocyte adhesion receptor expression
- Reduction in proinflammatory eicosanoids and leukotrienes
- Vasodilation

#### Decreased systolic and diastolic blood pressure

From Kwiterovitch PO Jr, ed. *The Johns Hopkins Textbook of Dyslipidemia*. Lippincott Williams & Wilkins; 2010:245–257. Adapted with permission.<sup>9</sup>

HDL-C = high-density lipoprotein-cholesterol; LDL-C = low-density lipoprotein-cholesterol; TG = triglyceride; VLDL-C = very-low-density lipoproteincholesterol.

effects were noted in both primary and secondary prevention subgroups, but these were significant only in the secondary prevention subgroup (P < 0.05).<sup>12</sup>

In contrast to **GISSI** and **JELIS**, more recent studies of omega-3 fatty acid supplementation, such as **OMEGA** (EPA 0.46 g/day plus DHA 0.38 g/day) and **ALPHA OMEGA** (EPA 0.4 g/day plus DHA), which investigated survivors of MI, yielded disappointing results.<sup>13,14</sup> However, in a secondary analysis of 1,014 patients from the **ALPHA OMEGA** trial with previous MI and diabetes, EPA plus DHA decreased ventricular arrhythmia and the combined endpoint of ventricular arrhythmia and fatal MI.<sup>15</sup> Because this was a *post hoc* subgroup analysis, the findings are limited.

The **ORIGIN** trial, which evaluated the effects of 0.9 g/ day of omega-3 fatty acids ethyl esters (OM-3-A EEs) on cardiovascular outcomes in patients with diabetes, or at risk for diabetes, found no reductions in deaths from cardiovascular causes or other outcomes in patients with impaired fasting glucose, impaired glucose tolerance, or diabetes and additional cardiovascular risk factors.<sup>16</sup> Notably, the investigational doses of omega-3 fatty acids were low (less than 1 g/day of EPA plus DHA), the median baseline dietary intake of EPA or DHA was 210 mg/day, and most patients also received concomitant evidence-based antihypertensive, antithrombotic, and lipidmodifying therapies.

In the Su.Fol.Om3 trial, which enrolled 2,501 patients with previous acute coronary or cerebral ischemic events, no significant effects were found with 0.6 g/day of EPA plus DHA after a median of 4.7 years.<sup>17</sup> Among the 1,863 patients with CHD in this trial, omega-3 fatty acid supplementation did not reduce the rates of "hard" coronary events (i.e., acute coronary syndrome, MI, or sudden coronary death) or coronary revascularization.<sup>18</sup> However, the potential benefits of omega-3 fatty acids in patients with heart failure were shown in the randomized GISSI-HF trial, in which 6,975 patients with class II-IV heart failure received approximately 0.85 g/day of EPA plus DHA or placebo.<sup>19</sup> After a median follow-up of 3.9 years, long-term treatment with omega-3 fatty acids reduced rates of all-cause mortality and cardiovascular-related hospital admissions.<sup>19</sup> Although the benefit was smaller than expected, it was observed when the therapy was added to a recommended heart failure regimen.

Results of two meta-analyses, published in 2012, further complicate the potential role of omega-3 fatty acids in cardiovascular outcomes. In one meta-analysis,<sup>7</sup> these products reduced cardiovascular events and death; in the other,<sup>20</sup> they were not significantly associated with major cardiovascular events. So far, the evidence concerning the role of omega-3 fatty acids in cardiovascular outcomes is conflicting and might be related to factors such as the dose taken, the patient populations enrolled, and background therapy.

To help further understand the role of omega-3 fatty acids in cardiovascular outcomes, particularly the potential additive effects in patients currently receiving evidence-based antihypertensive, antithrombotic, and lipid-modifying therapy, new, prospective, randomized, controlled trials of omega-3 fatty acids (particularly at doses higher than 2 g/day) in patients receiving current evidence-based care are needed. The potential role of omega-3 fatty acid therapies in the management of dyslipidemia is the focal point of this review.

### MANAGEMENT OF DYSLIPIDEMIA

Current standards of care in the management of hypertension, dyslipidemia, and hyperglycemia have led to substantial gains in the prevention of cardiovascular disease. Despite a multifactorial approach, including effective lowering of lowdensity lipoprotein-cholesterol (LDL-C) levels, significant cardiovascular risks persist for many patients.<sup>21</sup> This risk is associated with atherogenic dyslipidemia, a condition characterized by elevated TG, apolipoprotein B, and non–high-density lipoprotein-cholesterol (non–HDL-C) levels, as well as low HDL-C levels. This profile is typically seen in patients with type-2 diabetes and metabolic syndrome.<sup>21</sup> High TG levels are an independent risk factor for CHD and a marker of heightened cardiovascular risk beyond that predicted by LDL-C levels.<sup>22</sup>

The National Cholesterol Education Program (NCEP) Expert Panel on Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults (Adult Treatment Panel III, or ATP III) defines normal TG levels as below 150 mg/dL; borderline– high levels as 150–199 mg/dL; high levels as 200–499 mg/ dL; and very high levels as 500 mg/dL or greater.<sup>22</sup> Data from the National Health and Nutrition Examination Survey (NHANES) reveal that one in five of adults in the U.S. have TG concentrations of 200 mg/dL or higher, and 1 in 50 adults have TG levels of 500 mg/dL or higher.<sup>23</sup>

The prevalence of all categories of TG elevation was higher among men than women and highest among Mexican-Americans when the groups were evaluated according to ethnicity.<sup>23</sup> Over the past 20 years, a small increase in median TG levels of 3 mg/dL in men and 5 mg/dL in women has been reported, reflecting the increasing incidence of obesity, insulin resistance, and type-2 diabetes mellitus.<sup>24</sup>

The NCEP ATP III guidelines for cholesterol management were published in 2002.<sup>22</sup> Recommendations for modification were published in 2004 following the publication of data from additional clinical trials with high-dose statins.<sup>25</sup> The intensity of LDL-C–lowering treatment is directly related to the reduction in risk of cardiovascular events.<sup>22</sup>

ATP III recommends a two-step approach. The primary target is lowering elevated LDL-C levels; the emphasis then shifts to managing other lipoprotein risk factors.<sup>22,25</sup> ATP III emphasizes therapeutic lifestyle changes as an essential first step to reduce risk through both lowering LDL-C and managing metabolic syndrome.<sup>22</sup> If lifestyle changes are inadequate for achieving LDL-C goals, drug therapy is considered.<sup>22</sup> The LDL-C goal for high-risk patients is below 100 mg/dL; a more stringent goal (below 70 mg/dL) is an option for patients at very high risk.<sup>25</sup> Statin therapy remains the cornerstone of dyslipidemia management.<sup>22</sup>

Despite aggressive statin treatment to achieve target LDL-C levels, a residual risk for cardiovascular events of 65% to 75% is reported in statin studies.<sup>26</sup> Factors contributing to residual risk other than LDL-C levels include components of non–HDL, such as very-low-density lipoprotein (VLDL), chylomicrons, VLDL remnants, and lipoprotein (a).<sup>26</sup> To take into account the atherogenic potential of these lipids in patients with hypertriglyceridemia, NCEP ATP III introduced non–HDL-C as a secondary target of therapy in patients with elevated TG levels (200 mg/dL or higher). The non–HDL-C goal is 30 mg/dL higher than the LDL-C goal.<sup>22,25</sup> Treatment of atherogenic

dyslipidemia (TG levels of 150 mg/dL or higher and HDL-C levels below 40 mg/dL) focuses on lowering TG levels.<sup>22</sup>

Add-on therapy with niacin or fibrates is recommended in patients with atherogenic dyslipidemia who do not achieve non–HDL-C goals with optimized statin therapy.<sup>27</sup> Omega-3 fatty acids may offer a potential alternative to niacin and fibrate agents, as discussed next.

### EPA AND DHA: LIPID-LOWERING AND DIFFERENTIAL EFFECTS

The American Heart Association, addressing TGs and cardiovascular disease, recommends 2 to 4 g/day of EPA plus DHA for patients who need to lower TG levels.<sup>24</sup> More recently, the Endocrine Society suggested that omega-3 fatty acids alone or in combination with statins could be considered a treatment option for patients with moderate-to-severe TG levels.<sup>28</sup> Omega-3 fatty acids administered as fish oil supplements or as prescription OM-3-A EE lowers plasma TG levels by 25% to 34%.<sup>29,30</sup> Studies of omega-3 fatty acids, including those involving 2 or more weeks of therapy, have generally found no significant differences in absorption after administration as ethyl esters or in natural forms such as TGs.<sup>31–33</sup>

The magnitude of this effect is dependent on both the fish oil dose and the baseline TG level.<sup>34</sup> As reported in several studies, however, decreased TG levels, achieved by omega-3 fatty acids, have been accompanied by elevated LDL-C levels.<sup>29,35-37</sup>

In a meta-analysis of 21 studies, fish oil supplementation was associated with an average 6-mg/dL increase in LDL-C levels.<sup>34</sup> Subsequent examination of the distinct effects of EPA and DHA, administered as monotherapy, revealed differential effects of these omega-3 fatty acids on lipid levels. DHA-containing supplements or therapies, compared with EPA-containing supplements, were associated with more significant increases in LDL-C. In a review of studies of head-to-head comparisons (n = 6), DHA treatment was associated with net increases in LDL-C (3.3%) and HDL-C levels (5.9%) compared with EPA-<sup>38</sup> However, as noted by the authors, only four of the studies included statistical analyses of LDL-C. Three studies indicated no difference,<sup>39–41</sup> and one study showed a significant increase in LDL-C with DHA (8%; P = 0.02) but not with EPA.<sup>42</sup>

A meta-analysis of studies investigating the differential effects of EPA or DHA monotherapy showed that compared with placebo, DHA significantly raised mean LDL-C levels by 7.23 mg/dL, for a 95% confidence interval (CI) of 3.98 to 10.5, whereas EPA nonsignificantly reduced mean LDL-C levels.<sup>43</sup> When studies that directly compared EPA and DHA were analyzed (n = 6), DHA significantly increased mean LDL-C levels by 4.63 mg/dL (95% CI, 2.15–7.10) more than EPA did, and mean HDL-C levels were increased by 3.74 mg/dL (95% CI, 2.42–5.05) with DHA but not with EPA.<sup>43</sup>

A head-to-head study **(ORD)**, published in 2013, was conducted to evaluate the lipid-lowering effects of 2 g/day or 4 g/day of 1-g capsules containing 0.465 g of EPA ethyl ester plus 0.375 g of DHA ethyl ester versus 1.8 g/day of EPA ethyl ester alone in 611 patients with hypertriglyceridemia (i.e., TG at or above 150 mg/dL and less than 750 mg/dL).<sup>44</sup> TG levels were reduced by 11.2% and 10.8%, respectively, for the comparable doses of EPA ethyl ester 1.8 g/day and EPA ethyl ester plus DHA ethyl ester 2 g/day, with a least-squares mean difference of 0.37 (95% CI, -4.25 to 4.98).

Treatment-related adverse events occurring in 1% of patients or more in any group included diarrhea, flatulence, and elevated LDL-C levels. The degree of the increase in LDL-C and stratification, according to treatment group with or without statins, was not specified.

All three treatments produced small increases in HDL-C levels and small decreases in LDL-C levels, compared with baseline, but EPA ethyl ester produced more reductions in LDL-C than either dose of EPA ethyl ester plus DHA ethyl ester. Point estimates for 2 g/day and 4 g/day versus EPA ethyl ester 1.8 g/day were 2.14 [95% CI, -0.6 to -4.9] and 3.17 [95% CI, 0.2–6.1]). However, as the authors acknowledged, the study was limited to Japanese patients undergoing lifestyle modifications and LDL-C increases have been observed in other studies of prescription omega-3 fatty acids.

In summary, increased LDL-C levels with preparations that include both EPA and DHA may complicate the ability to achieve LDL-C goals in patients with dyslipidemia. Omega-3 fatty acids that do not raise LDL-C levels might be a more appropriate option for these patients. In several studies, EPA, independently of DHA, lowered TG levels, atherogenic lipoproteins, and markers of vascular function without raising LDL-C levels.<sup>45-50</sup>

### EPA AND DHA PRODUCTS ON THE MARKET

The daily omega-3 fatty acid requirement for patients with documented CHD (1 g/day) or for those requiring lower TG levels (2–4 g/day) is difficult to achieve by fish consumption alone; therefore, a fish oil supplement should be considered.<sup>30</sup> Omega-3 fatty acids are available as dietary supplements and as prescription formulations containing either a mixture of both EPA and DHA ethyl esters or as purified EPA ethyl ester.

#### **Dietary Supplements**

Numerous dietary fish oil supplements are available, but these preparations are not subject to the same regulatory standards or manufacturing oversight used by the FDA to establish the efficacy and safety of prescription products.<sup>51,52</sup> The U.S. Dietary Supplementation Health and Education Act of 1994 stipulates that supplement labels may not claim to diagnose, mitigate, treat, cure, or prevent diseases.53 In contrast to prescription formulations that contain known and consistent amounts of active compounds, the amount of EPA and DHA per recommended serving can vary widely among supplement products.54 Reports regarding the accuracy of the stated amount of EPA and DHA in supplement labels have been inconsistent. In one report of 15 products, the amount of omega-3 fatty acids was stated on all labels;55 another report on 24 products, however, pointed out three supplements containing a lower amount of omega-3 fatty acids than the company claimed.56 Patients may thus find it difficult to navigate these issues of accuracy in supplement labels and may be further challenged in interpreting the importance of EPA and DHA doses.

The concentrations of EPA and DHA in omega-3 fatty acid supplements range from a modest level of less than 20% to more than 80%.<sup>56</sup> Yet patients may require a median intake of 11.2 servings per day (one to three soft gels, capsules, or packets per serving) to achieve the higher recommended

doses,<sup>52,54</sup> and this regimen may interfere with patient adherence. The larger daily doses can also result in an additional intake of vitamins, saturated fat, and cholesterol, depending on the source of the oil.<sup>54</sup>

For example, cod liver oil contains fat-soluble vitamins and cholesterol. Although other sources, such as salmon oil, might also be high in cholesterol, oils derived from algae and fish may have low or no levels of cholesterol, depending on purity.<sup>54</sup> By comparison, prescription drugs containing omega-3 fatty acids contain no cholesterol. Questions as to the presence of environmental contaminants (e.g., heavy metals, polychlorinated biphenyls, and pesticides) may arise with regard to fatty fish consumption; however, an evidence-based review concluded that omega-3 fatty acid supplements and prescription drugs do not contain sufficient amounts of contaminants to pose a potential health risk.<sup>57</sup>

Consumers, pharmacists, and clinicians should be aware of these differences between omega-3 fatty acid supplements and prescription products when selecting an appropriate agent. It is important for pharmacists to inform patients about the differences in product purity, potency, dosage, and the respective roles of EPA and DHA. Patients with a lipid disorder should not be encouraged to treat themselves with dietary supplements. The use of prescription drugs should always be supervised by a physician.

### **FDA-Approved Agents**

### **Omega-3-Acid Ethyl Esters (Lovaza)**

A prescription product containing high-purity omega-3acid ethyl esters (OM-3-A EE), currently marketed as Lovaza (GlaxoSmithKline) following a name change from Omacor in 2007,<sup>58</sup> is approved by the FDA as an adjunct to diet to reduce very high TG levels (500 mg/dL or higher) in adults (Table 2).<sup>59</sup> Each 1-g capsule of OM-3-A EE contains ethyl esters of EPA (0.465 g) and DHA (0.375 g).<sup>59</sup> Patients take a once-daily dose of 4 g or two 2-g doses (two capsules twice daily).<sup>59</sup>

In two randomized, double-blind, placebo-controlled trials in patients with severe hypertriglyceridemia (TG range, 500–2,000 mg/dL), OM-3-A EE (4 g/day) reduced TG levels by 45%, compared with a 16% increase with placebo (P < 0.0001) after 16 weeks of treatment<sup>60</sup> and by 38.9%, compared with a 7.8% reduction with placebo (P = 0.001) after 6 weeks of treatment.<sup>35</sup> After 6 and 16 weeks of treatment, OM-3-A EE was associated with LDL-C increases of 16.7% (P = 0.02) and 13% (P = 0.004); and VLDL-C decreases of 29.2% (P = 0.002) and 32% (P = 0.001), respectively.<sup>35,60</sup> (P values represent comparisons with placebo.)

The combined results of these two studies, as reported in the Lovaza prescribing information,<sup>59</sup> are shown in Table 3. The increase in LDL-C is a potential disadvantage, because this product may prevent patients from achieving target LDL-C levels. It is therefore recommended that LDL-C levels be monitored periodically during treatment with OM-3-A EE.<sup>61</sup>

The **COMBOS** study evaluated the effects of adding OM-3-A EE to stable simvastatin (e.g., Zocor) therapy (40 mg/day) in patients with persistent hypertriglyceridemia (at or above 200 mg/dL and less than 500 mg/dL) (Table 4; see page 687).<sup>37</sup> After an 8-week lead-in phase with simvastatin, patients received OM-3-A EE or placebo for an additional 8 weeks.

The reduction from baseline in non–HDL-C (the primary outcome variable) was significantly greater with OM-3-A EE plus simvastatin than with simvastatin alone (-9.0% vs. -2.2%, respectively; P < 0.0001).<sup>59</sup> Treatment with OM-3-A EE also significantly reduced TG levels (-29.5% vs. -6.3%) and VLDL-C levels (-27.5% vs. -7.2%) and significantly increased HDL-C levels compared with placebo (3.4% vs. -1.2%) (all comparisons,

Table 2 Prescription Omega-3 Fatty Acid Products						
Trade name	Lovaza	Vascepa				
Generic name	Omega-3-acid ethyl esters	Icosapent ethyl				
Indication	Adjunct to diet to reduce TG levels in adult patients with severe hypertriglyceridemia (≥500 mg/dL)	Adjunct to diet to reduce TG levels in adult patients with severe hypertriglyceridemia ( $\geq$ 500 mg/dL)				
Description	Each 1-g capsule contains at least 0.9 g of the ethyl esters of omega-3 fatty acids (EPA, about 0.465 g, and DHA, about 0.375 g)	Each capsule contains 1 g of icosapent ethyl, an ethyl ester of the omega-3 fatty acid EPA				
Chemical structure	EPA ethyl ester DHA ethyl ester					
Dosage	Daily oral dose of 4 g taken as a single 4-g dose (four capsules once daily) or as two 2-g doses (two capsules twice daily); in clinical studies, Lovaza was administered with meals	Daily oral dose of 4 g taken as two capsules twice daily with food				
	pic acid; EPA = eicosapentaenoic acid; TG = triglyceride. scribing information <sup>59</sup> and Vascepa prescribing information. <sup>63</sup>					

	Lovaza (Omega-3-Acid Ethyl Esters) <sup>59</sup>				Vascepa (Icosapent Ethyl) <sup>63</sup>				
Study	Two studies <sup>35,60</sup>				MARINE <sup>49</sup>				
Lipid inclusion criteria	TG ≥ 500 and ≤2,000 mg/dL				TG ≥ 500 and ≤2,000 mg/dL				
Duration	6 and 16 weeks			12 weeks					
	4 g/day (n = 42)		Placebo (n = 42)		4 g/day (n = 76)		Placebo (n = 75)		
	BL	% Chg <sup>a</sup>	BL	% Chg <sup>a</sup>	BL	% Chg <sup>a</sup>	BL	% Chgª	
TG (mg/dL)	816	-44.9	788	+6.7	680	-26.6	703	+9.7	
Difference <sup>b</sup>	-51.6				-33.1° (-47, -22)				
LDL-C (mg/dL)	89	+44.5	108	-4.8	91	-4.5	86	-3.0	
Difference	+49.3				-2.3 (-13, +8)				
Non–HDL-C (mg/dL)	271	-13.8	292	-3.6	225	-7.7	229	+7.8	
Difference	-10.2			-17.7 (-25, -11)					
TC (mg/dL)	296	-9.7	314	-1.7	254	-7.3	256	+7.7	
Difference	-8.0			-16.3 (-22, -11)					
HDL-C (mg/dL)	22	+9.1	24	0.0	27	-3.5	27	0	
Difference		+9.1			-3.6 (-9, +2)				
VLDL-C (mg/dL)	175	-41.7	175	-0.9	123	-19.5	124	+13.7	
Difference	-40.8			-28.6 <sup>d</sup> (-43, -14)					
Apo B (mg/dL)	-	-	—	_	121	-3.8	118	+4.3	
Difference	_					-8	.5 <sup>d</sup> (−14, −3)		

<sup>a</sup> % Chg = median percentage change from baseline.

<sup>b</sup>Difference for omega-3 acid ethyl esters: omega-3 acid ethyl esters median % change – placebo median % change. Difference for icosapent ethyl: median of (icosapent ethyl % change – placebo % change) (Hodges–Lehmann estimate, 95% confidence intervals)

<sup>c</sup>P < 0.001 (Wilcoxon rank-sum test; primary efficacy endpoint).

<sup>d</sup>*P* < 0.05 (Wilcoxon rank-sum test; key secondary endpoints determined to be statistically significant according to prespecified multiple comparison procedure). Apo B = apolipoprotein B; BL = baseline; HDL-C = high-density lipoprotein-cholesterol; LDL-C = low-density lipoprotein-cholesterol; MARINE = **M**ulticenter, Placebo-controlled, **R**andomized, Double-blind, 12-week Study With an Open-label **E**xtension; non–HDL-C = non-high-density lipoprotein-cholesterol; TC = total cholesterol; TG = triglyceride; VLDL-C = very-low-density lipoprotein-cholesterol.

### P < 0.05).<sup>59</sup>

An increase of 0.7% in LDL-C levels was noted for OM-3-A EE compared with a decrease of 2.8% for placebo;<sup>37</sup> this was reported as a net increase of 3.5% (P = 0.05).<sup>59</sup> OM-3-A EE was also associated with reduced levels of apolipoprotein B, remnant-like particle cholesterol, apolipoprotein CIII, and lipoprotein-associated phospholipase A<sub>2</sub> levels,<sup>37,62</sup> as well as changes in the size and composition of lipoproteins.

Treatment was generally well tolerated, with 19 of 20,<sup>35</sup> 22 of 22,<sup>60</sup> and 116 of 123<sup>37</sup> patients in the OM-3-A EE groups completing the two pivotal trials and the COMBOS trial, respectively. In the OM-3-A EE patients, adverse reactions occurring in 3% of patients or more, and at an incidence greater than in the placebo group, included eructation, dyspepsia, and taste distortion.<sup>59</sup>

Periodic liver function testing is recommended in patients with hepatic impairment during therapy with OM-3-A EE. LDL-C levels should also be monitored. A possible association between OM-3-A EE and more frequent recurrences of atrial fibrillation or flutter has been noted.<sup>59</sup> In COMBOS, fasting blood glucose levels were significantly increased with OM-3-A  $\rm EE.^{37}$ 

### Icosapent Ethyl (Vascepa)

Icosapent ethyl (IPE; Vascepa, Amarin), formerly called AMR101, is a high-purity prescription form of EPA ethyl ester that was approved in 2012 by the FDA as an adjunct to diet to reduce TG levels in adults with severe hypertriglyceridemia (500 mg/dL or higher) (see Table 2). The daily dose is 4 g, taken as two capsules twice daily with food. Each capsule contains 1 g of IPE.<sup>63</sup>

In the **MARINE** study, 229 patients with severe hypertriglyceridemia (TG between 500 and 2,000 mg/dL) were randomly assigned to receive IPE 4 g/day or 2 g/day or placebo.<sup>49</sup> Results from the 4-g/day and placebo arms are shown in Table 3.

Compared with placebo, changes in TG levels from baseline at week 12 with IPE 4 g/day and 2 g/day were 33.1% (P < 0.0001) and 19.7% (P = 0.005), respectively. Among statintreated patients, placebo-adjusted TG level decreases were 65%

	Lovaza (Omega-3-Acid Ethyl Esters) <sup>59</sup>			Vascepa (Icosapent Ethyl) <sup>50</sup>				
Study	COMBOS <sup>37</sup> (N = 256)				ANCHOR <sup>50</sup> (N = 702)			
Lipid and statin inclusion criteria	TG $\geq$ 200 and <500 mg/dL; mean LDL-C $\leq$ 10% above NCEP ATP III goal			TG ≥ 200 and <500 mg/dL; LDL-C ≥40 and <115 mg/dL with optimized statin therapy				
Duration	8 weeks			12 weeks				
Treatment			simvastati	bo plus n 40 mg/day <sup>=</sup> 132)	4 g/day plus statin <sup>a</sup> (n = 226)		Placebo plus statinª (n = 227)	
	BL	% Chg⁵	BL	% Chg⁵	BL	% Chg⁵	BL	% Chg⁵
TG (mg/dL)	268	-29.5	271	-6.3	265	-17.5	259	5.9
Difference, P <sup>c</sup>	-23.2, <i>P</i> < 0.0001			-21.5, <i>P</i> < 0.0001				
LDL-C (mg/dL)	91	0.7	88	-2.8	82	1.5	84	8.8
Difference, P	3.5, <i>P</i> = 0.05			-6.2, <i>P</i> = 0.007				
Non-HDL-C (mg/dL)	137	-9.0	141	-2.2	128	-5.0	128	9.8
Difference, P	-6.8, <i>P</i> < 0.0001			-13.6, <i>P</i> < 0.0001				
TC (mg/dL)	184	-4.8	184	-1.7	167	-3.2	168	9.1
Difference, P	-3.1, <i>P</i> < 0.05			-12.0, <i>P</i> < 0.0001				
HDL-C (mg/dL)	46	+3.4	43	-1.2	37	-1.0	39	4.8
Difference, P	+4.6, P < 0.05			-4.5, <i>P</i> = 0.0013				
VLDL-C (mg/dL)	52	-27.5	52	-7.2	44	-12.1	42	15
Difference, P	-20.3, <i>P</i> < 0.05			-24.4, <i>P</i> < 0.0001				
Apo B (mg/dL)	86	-4.2	87	-1.9	93	-2.2	91	7.1
Difference, P	-2.3, <i>P</i> < 0.05			-9.3, <i>P</i> < 0.0001				

<sup>a</sup> Various regimens (and patient numbers) of simvastatin, atorvastatin, or rosuvastatin.

 $^{\rm b}$  % Chg = median percentage change from baseline.

<sup>c</sup> Difference for omega-3 acid ethyl esters: omega-3 acid ethyl esters median % change minus placebo median % change. Difference for icosapent ethyl: median of (icosapent ethyl % change – placebo % change) (Hodges-Lehmann estimate; two-tailed 95% confidence interval).

ANCHOR = Effect of AMR101 (Ethyl Icosapentate) on Triglyceride Levels in Patients on Statins With High Triglyceride Levels ( $\geq$  200 and < 500 mg/dL); Apo B = apolipoprotein B; COMBOS = Combination of Prescription Omega-3 With Simvastatin; HDL-C = high-density lipoprotein-cholesterol; LDL-C = low-density lipoprotein-cholesterol; NCEP–ATP III = National Cholesterol Education Program–Adult Treatment Panel III; non–HDL-C = non–high-density lipoprotein-cholesterol; TC = total cholesterol; TG = triglyceride; VLDL-C = very-low-density lipoprotein-cholesterol.

(P = 0.0001) with IPE 4 g/day and 40.7% (P = 0.03) with IPE 2 g/day; the decline in TG levels was also greater in patients with higher baseline TG levels (above 750 mg/dL). Importantly, no significant increase in LDL-C levels was observed in either the overall intent-to-treat population or in the subgroup with TG levels above 750 mg/dL.

The efficacy of IPE in improving lipid parameters was further demonstrated in a second 12-week randomized, placebocontrolled trial **(ANCHOR)** that enrolled statin-treated patients at high cardiovascular risk with well-controlled LDL-C levels and persistently high TG levels (at or above 200 mg/dL and less than 500 mg/dL) (Table 4).<sup>50</sup>

Compared with placebo, IPE 4 g/day and 2 g/day reduced median TG levels from baseline by 21.5% (P < 0.0001) and 10.1% (P = 0.0005), respectively, without increasing LDL-C levels. The 4 g/day dose decreased LDL-C levels by 6.2% (P = 0.007) and significantly reduced other lipid parameters, compared

with a light liquid paraffin placebo, including apolipoprotein B (9.3%; P < 0.0001), VLDL-C (24.4%; P < 0.0001), lipoproteinassociated phospholipase A<sub>2</sub> (19.0%; P < 0.0001), and highsensitivity C-reactive protein (hsCRP) (22.0%; P = 0.0005).<sup>50</sup> Thus, IPE provided additional benefits beyond those achieved with optimized statin therapy.

A large proportion of patients who were treated with IPE in clinical practice have diabetes or are receiving statin treatment. Notably, IPE had no significant effect on glucose metabolism or hepatic or renal function in these studies.<sup>49,50</sup> IPE was well tolerated, with a safety profile similar to that of placebo. More than 90% of patients completed the 12-week studies, and the incidence of eructations was less than that in the placebo group. Arthralgia was experienced in 2.3% of patients. Periodic liver function testing is recommended in patients with hepatic impairment, but LDL-C levels do not need to be monitored.<sup>63</sup>

#### **Emerging Agents**

### **Omega-3 Free Fatty Acids (Epanova)**

OM-3 FFA (Epanova, Omthera/AstraZeneca), a soft-coated gelatin capsule, contains a mixture of the free fatty acid forms of EPA and DHA and is currently in phase 3 trials for the treatment of severe hypertriglyceridemia and mixed dyslipidemia.<sup>64</sup> OM-3 FFA contains 50% to 60% EPA and 15% to 25% DHA free fatty acids.<sup>65</sup> In the **ECLIPSE** study, which assessed the relative bioavailability of 4 g of OM-3 FFA or OM-3-A EE, the bioavailability of OM-3 FFA was found to be greater than that of OM-3-A EE under certain dietary conditions.<sup>66</sup>

A phase 2/3 study, **EVOLVE**, investigated the TG-lowering efficacy of OM-3 FFA (2, 3, or 4 g daily) compared with placebo in patients with severe hypertriglyceridemia (at or above 500 and less than 2,000 mg/dL). Preliminary results demonstrated significant reductions in TG levels in all dose groups; LDL-C levels were increased by 21%, 16%, and 26% with 2, 3, and 4 g/ day, respectively.<sup>67</sup>

Another trial, **ESPRIT**, also investigated OM-3 FFA in statintreated patients with persistent hypertriglyceridemia and at high risk for cardiovascular disease.<sup>68</sup> There was a 7% reduction (P < 0.001) in non–HDL-C levels, a 21% reduction (P < 0.001) in TG levels, and a nonsignificant 1% increase in LDL-C levels in patients receiving 4 g/day. However, in the group receiving 2 g/ day, LDL-C levels were significantly increased by 5% (P < 0.025).

Results are anticipated for a clinical trial (Effect of Multiple Doses of Epanova on the Multiple-Dose Pharmacokinetics of Simvastatin in Healthy Normal Subjects). Approximately 52 patients were enrolled, and pharmacokinetic parameters were the primary outcome measures.<sup>69</sup>

#### Krill Oil

Extracted from Antarctic krill, the oil from this crustacean is a rich source of phospholipids. The oil contains long-chain omega-3 polyunsaturated fatty acids as well as various antioxidants, including vitamin A, vitamin E, and astaxanthin (a carotenoid and antioxidant).<sup>70,71</sup> Products include Neptune Krill Oil (NKO, Neptune Technologies & Bioressources, Quebec) and Superba Krill Oil (Aker BioMarine Antarctic AS).

The capsules may contain approximately 27 g/100 g of total omega-3 fatty acids and 1.5 g/100 g of total omega-6 fatty acids, with 14.2 g/100 g of EPA and 8.5 g/100 g of DHA.<sup>72</sup> It is suggested that the association of omega-3 fatty acids with phospholipids in krill oil might provide greater intestinal absorption and bioavailability compared with fish oil.<sup>70</sup> With daily administration of krill oil, plasma EPA and DHA levels have shown increases to a similar extent as with fish oil without any adverse effects.<sup>73,74</sup>

Daily doses of 1 to 3 g of krill oil can also reduce total cholesterol, LDL-C, and TG levels and increase HDL-C levels to a greater extent than 3 g/day of fish oil. In a study by Bunea and Deutsch, a maintenance dose of 0.5 g/day provided long-term benefits on blood lipids.<sup>71</sup>

A recently completed clinical trial (Study to Investigate the Effects of Krill Oil on Fasting Serum Triglycerides) recruited participants with borderline–high or high fasting serum TG levels. The study was conducted to assess the effects of 12 weeks of daily supplementation with Superba Krill Oil on changes from baseline in fasting serum TG levels and the Omega-3 Index (a

biomarker of coronary heart disease). The index comprises the sum of EPA plus DHA in erythrocyte membranes, expressed as a percentage of total erythrocyte fatty acids.<sup>75</sup>

**TRIFECTA** is currently under way to determine whether a krill oil formulation (CaPre, Acasti Pharma/Neptune), given at doses of 1 or 2 g for 12 weeks, affects fasting plasma TG levels in patients with mild-to-high hypertriglyceridemia compared with placebo.<sup>76</sup>

### THERAPY CONSIDERATIONS

Alternatives to omega-3 fatty acids for reducing non–HDL-C levels in patients with atherogenic dyslipidemia include fibrates and niacin.<sup>27</sup> However, these agents are associated with adverse effects that may limit their long-term use. Niacin is associated with hepatotoxicity, hyperuricemia, gout, and hyperglycemia; it also causes flushing, which may be intolerable for some patients.<sup>22</sup> Fibrates, although generally well tolerated, have the potential for an increased risk of gallstones and myopathy when combined with statins.<sup>22</sup> By contrast, omega-3 fatty acids produce relatively fewer tolerability or safety problems compared with fibrates and niacin, although the potential long-term adverse effects of omega-3 fatty acids are not yet known.

Adherence to treatment is one of the main factors determining the effectiveness of drug therapy, particularly long-term therapy.<sup>54</sup> A high pill burden, such as that encountered with omega-3 supplements, may lead to poor adherence, which in turn may lead to suboptimal disease control. The lack of a fishy taste and a low incidence of eructations with IPE (Vascepa) may also lead to better patient acceptance and adherence.

In a review of marketed fish oil supplements (110 non-liquid and 14 liquid), the median amount of EPA/DHA in non-liquid and liquid products was 0.216 g/0.2 g and 0.46 g/0.4 g, respectively.<sup>54</sup> Therefore, in order to achieve a dose of 3.36 g/dayomega-3 fatty acids, it was found that a median intake of 11.2 servings/day would be required at a median monthly cost of \$63.49 for non-liquid formulations, and a median 3.6 teaspoons/ day would be required at a median monthly cost of \$13.60 for liquid formulations.<sup>54</sup>

As a result, an increased patient awareness of the differences between supplements and prescription formulations is an important clinical consideration. Patients who are prescribed omega-3 fatty acid therapy to reduce very high TG levels should be informed at the outset that substituting their prescription formulation with an omega-3 supplement may result in a diminished daily dose, hidden costs, and a potential for DHA-containing products to cause elevated LDL-C levels.

Another consideration for patients, pharmacists, and physicians is that some insurers might not cover omega-3 fatty acid prescription drugs. An insurance company might perceive that less expensive alternatives are available in the form of supplements; such a decision highlights the perceived lack of clarity regarding the differences between medications and supplements.

Pharmacoeconomic investigations of omega-3 fatty acids therapy include a decision-analytic model, which predicted fewer myocardial infarction (MIs) and cardiovascular deaths in the short and long term, with a reduction in direct and total medical costs.<sup>77</sup>

Another decision-analytic (Markov) model, based on the

outcomes of the **GISSI-HF** trial, found that the addition of omega-3 fatty acids to the therapy of patients with chronic heart failure was likely to be cost-effective.<sup>78</sup> In an analysis of the 3.5-year follow-up period of the **GISSI-Prevenzione** study, the cost-effectiveness of long-term omega-3 fatty acid treatment (involving direct costs only) was comparable to other drugs recently introduced in the routine secondary prevention of MI, such as statins.<sup>79</sup>

Finally, the cost-effectiveness of TG lowering was assessed in an analysis that included two large U.S. health care claims databases. Nichols et al. observed a reduced risk of pancreatitis, cardiovascular events, diabetes-related events, and kidney disease, along with a decrease in health care utilization and all-cause and cardiovascular-related costs, in patients with initial severe hypertriglyceridemia and follow-up TG levels below 500 mg/dL.<sup>80</sup>

Additional studies demonstrated significantly greater costs for patients with TG levels of 500 mg/dL or higher than in patients with TG levels below 150 mg/dL<sup>81</sup> and a trend in lower use of hospital resources and lower medical care costs following TG reductions.<sup>82</sup> From a managed care perspective, these results suggest that omega-3 fatty acids and TG-lowering therapy have the potential to have a positive impact on resource utilization and to reduce costs.

### PLACE OF OMEGA-3 FATTY ACIDS IN CLINICAL THERAPY

Both OM-3-A EE (Lovaza) and IPE (Vascepa) are approved by the FDA for patients with very high TG levels. In clinical trials, both agents reduced TG levels and other atherogenic lipids in statin-treated patients with residual high TG levels, but IPE did not raise LDL-C levels. Although add-on therapies such as niacin and fibrates are recommended by the NCEP ATP III and the American Heart Association,<sup>22,27</sup> these recommendations were made before more recent studies showed that an improvement in cardiovascular outcomes was not confirmed following the addition of fibrates or niacin to statin therapy.

In the **ACCORD** study, the annual rate of cardiovascular outcomes over a period of 4.7 years was similar in simvastatintreated patients with type-2 diabetes receiving fenofibrate (2.2%) or placebo (2.4%).<sup>83</sup>

The **HPS2–THRIVE** study set out to examine the long-term clinical effects of increasing HDL-C levels with extended-release niacin/laropiprant (e.g., Tredaptive, Merck) in simvastatin-treated patients with a history of CHD. The results indicated no further significant reductions in the risk of the combination of coronary deaths, nonfatal heart attacks, strokes, or revascularizations, compared with statin therapy alone,<sup>84</sup> and a safety analysis revealed an increased risk of myopathy when niacin/laropiprant was added to simvastatin.<sup>85</sup>

Similarly, in the **AIM–HIGH** trial, the addition of extendedrelease niacin to ongoing simvastatin therapy in patients with established cardiovascular disease did not reduce rates of the primary composite cardiovascular endpoint (16.4% with niacin vs. 16.2% with placebo).<sup>86</sup>

An event-driven cardiovascular outcomes trial, **REDUCE-IT**, is evaluating the ability of IPE 4 g/day to reduce cardiovascular events in high-risk statin-treated patients with hypertriglyceridemia.<sup>88</sup> The primary outcome measure is the composite endpoint

of cardiovascular death, MI, stroke, coronary revascularization, and hospitalization for unstable angina. Secondary outcome measures include the incidence of additional cardiovascular events, lipid and lipoprotein levels, and subgroup analyses in diabetic patients.<sup>87</sup> The lack of recent positive outcomes data, in particular in studies involving interventions in addition to statin therapy, coupled with the known excess residual risk in patients with elevated TG or decreased HDL-C levels during statin therapy, highlights the importance of the REDUCE–IT study. The results are highly anticipated.

### CONCLUSION

The very-long-chain omega-3 fatty acids EPA and DHA represent viable treatment options for patients with elevated TG levels. The FDA has approved two prescription omega-3 fatty acid agents—OM-3-A EE (Lovaza) and IPE (Vascepa). Although there are no data that suggest the LDL-C increases associated with some omega-3 fatty acid formulations lead to adverse outcomes, these increases in LDL-C may compromise the achievement of lipid targets; thus, there is a need for agents that can lower TG levels without increasing LDL-C levels. IPE, a high-purity form of EPA, either alone or in combination with statin therapy, may have the potential to meet this need. The ongoing REDUCE–IT study should help to address the need for additional outcomes data for EPA-only therapy.

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